

GOVERNANCE AND AUDIT COMMITTEE

Thursday, 21st January, 2021

10.00 am

Online





AGENDA

GOVERNANCE AND AUDIT COMMITTEE

Thursday, 21st January, 2021, at 10.00 am
Online

Ask for: **Andrew Tait**
Telephone: **03000 416749**

Membership (12)

Conservative (8) Mr D L Brazier (Chairman), Mr R A Marsh (Vice-Chairman),
Mrs R Binks, Mr N J D Chard, Mr G Cooke, Mrs S V Hohler,
Mr M J Horwood and Mr H Rayner

Liberal Democrat (1): Mr R H Bird

Labour (1) Mr D Farrell

Independents (Green Party) (1): Mr M E Whybrow

Independent Member of the Governance and Audit Committee (1) Dr D A Horne

In response to COVID-19, the Government has legislated to permit remote attendance by Elected Members at formal meetings. This is conditional on other Elected Members and the public being able to hear those participating in the meeting. This meeting of the Committee will be streamed live and can be watched via the Media link on the Webpage for this meeting.

UNRESTRICTED ITEMS

(During these items the meeting is likely to be open to the public)

1. Introduction
2. Substitutes
3. Declarations of Interest in items on the agenda for this meeting
4. Dates of future meetings of the Committee

Friday, 23 April 2021
Tuesday, 20 July 2021
Thursday, 7 October 2021
Thursday, 20 January 2022
Friday, 22 April 2022

5. Minutes - 8 October 2020 (Pages 1 - 8)
6. Committee Work and Member Development Programme (Pages 9 - 14)
7. Review of the Risk Management Strategy, Policy and Programme (Pages 15 - 32)
8. Corporate Risk Register (Pages 33 - 102)
9. Treasury Management Six Month Review 2020/21 (Pages 103 - 118)
10. Report on on use of covert investigative techniques surveillance, covert human intelligence sources and telecommunications data requests carried out by KCC between 1 April 2019 and 31 March 2020 (Pages 119 - 138)
11. The Future Role of the Governance and Audit Committee (Pages 139 - 186)
12. Schools Audit Annual Report (Pages 187 - 192)
13. Internal Audit Progress Report (Pages 193 - 240)
14. Counter Fraud Update (Pages 241 - 250)
15. External Audit 2019/20 Final Audit Letter (Pages 251 - 270)
16. External Audit Findings Report 2019/20 (Pages 271 - 304)
17. External Audit Update (Pages 305 - 324)
18. Effectiveness of External Audit and Internal Audit Liaison (Pages 325 - 328)
19. Other items which the Chairman decides are urgent
20. Motion to exclude the public

That under Section 100A of the Local Government Act 1972 the public be excluded from the meeting for the following business on the grounds that it involves the likely disclosure of exempt information as defined in paragraphs 5 and 6 of Part 1 of Schedule 12A of the Act.

EXEMPT ITEMS

(During these items the meeting is likely Not to be open to the public)

21. Internal Audit Progress Report (Pages 329 - 332)
 - (a) Winter Pressures Commissioning
 - (b) Urgent CHAPS Payment
 - (c) Highways Team Services Commissioning Project
22. Review of KCC Company Ownership Governance (Pages 333 - 336)

Benjamin Watts
General Counsel
03000 416814

Wednesday, 13 January 2021

Please note that any background documents referred to in the accompanying papers maybe inspected by arrangement with the officer responsible for preparing the relevant report.